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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/037,881
		Filing Date	Jan 4, 2002
		First Named Inventor	Richard M. Austin, Jr.
		Group Art Unit	1641
		Examiner Name	?
Total Number of Pages in This Submission	4	Attorney Docket Number	4532670/6200 (KEM 42)

ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group	
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	Return postcard, Check for \$1,050.00, Notice to File Missing Parts, Declaration and Power of Attorney for Patent Application	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund		
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s)		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kent A. Herink, Esq. Davis, Brown, Koehn, Shors & Roberts, P.C.
Signature	
Date	September 3, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 3, 2002	
Type or printed name	Jeri Krutsinger
Signature	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant Claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\\$)**

Complete if Known

Application Number	10/037,881
Filing Date	1/4/2002
First Named Inventor	Richard M. Austin, Jr.
Examiner Name	
Group Art Unit	1641
Attorney Docket No.	4532670/6200 (KEM 42)

Check Credit card Money Order Other None

Deposit Account

Deposit Account Number	12-2250
Deposit Account Name	Davis, Brown, Koehn, Shors & Roberts, P.C.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code (\$)	Fee Description	
101	740	201 370 Utility filing fee	
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) **(\\$)**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20**=	X	=	Fee Paid
Independent Claims	- 3**=	X	=	
Multiple Dependent				

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\\$)**

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Paid
Fee	Fee	
105	130	205 65 SurchARGE – late filing fee or oath
127	50	227 25 SurchARGE – late provisional filing fee or cover sheet
139	130	139 130 Non-English specification
147	2,520	147 2,520 For filing a request for ex parte reexamination
112	920*	112 920* Requesting publication of SIR prior to Examiner action
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action
115	110	215 55 Extension for reply within first month
116	400	216 200 Extension for reply within second month
117	920	217 460 Extension for reply within third month
118	1,440	218 720 Extension for reply within fourth month
128	1,960	228 980 Extension for reply within fifth month
119	320	219 160 Notice of Appeal
120	320	220 160 Filing a brief in support of an appeal
121	280	221 140 Request for oral hearing
138	1,510	138 1,510 Petition to institute a public use proceeding
140	110	240 55 Petition to revive – unavoidable
141	1,280	241 640 Petition to revive – unintentional
142	1,280	242 640 Utility issue fee (or reissue)
143	460	243 230 Design issue fee
144	620	244 310 Plant issue fee
122	130	122 130 Petitions to the Commissioner
123	50	123 50 Processing fee under 37 CFR 1.17(q)
126	180	126 180 Submission of Information Disclosure Stmt
581	40	581 40 Recording each patent assignment per property (times number of properties)
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279 370 Request for Continued Examination (RCE)
169	900	169 900 Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\\$)1,050.00

SUBMITTED BY

Name (Print/Type)	Kent A. Herink	Registration No. (Attorney/Agent)	31025	Telephone	515-288-2500
Signature	<i>Kent A. Herink</i>			Date	September 3, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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09/11/2002 MIZUNES 00000050 10037881

Complete (if applicable)



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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,881	01/04/2002	Richard M. Austin JR.	4532670/6200(KEM42)

CONFIRMATION NO. 4794
FORMALITIES LETTER



OC000000007829522

Kent A. Herink, Esq.
The Financial Center
Suite 2500
666 Walnut Street
Des Moines, IA 50309

Date Mailed: 04/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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130.00 DP